

08-07-03

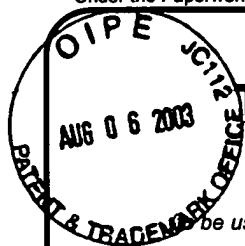
3628

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

be used for all correspondence after initial filing)

<b>Application Number</b>	09/688,982		
	<b>Filing Date</b>	10/17/2000	
	<b>First Named Inventor</b>	Jeff Eder	
	<b>Group Art Unit</b>	3628	
	<b>Examiner Name</b>	Clement Graham	
<b>Total Number of Pages in This Submission</b>	27	<b>Attorney Docket Number</b>	VM-11

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return receipt postcard Preliminary Amendment
<b>Remarks</b>  		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jeff Eder	<b>RECEIVED</b> AUG 11 2003 <b>GROUP 3600</b>
Signature		
Date	08/06/2003	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:				08/06/2003
Typed or printed name		Jeff Eder		
Signature				Date
				08/06/2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

6/B  
10-15-03  
CW

Re Application of:

Jeff S. Eder

Serial No. 09/688,982

Filed: October 17, 2000

For: AN AUTOMATED ON-LINE RISK  
TRANSFER SYSTEM

Examiner: Clement Graham

Art Unit: 3628

PRELIMINARY AMENDMENT

Mail Stop Non-Fee Amendments  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
AUG 11 2003  
GROUP 3600

Sir or Madam:

Prior to examination of the above-referenced application, the Applicant respectfully requests the Examiner to enter the following amendments.

IN THE SPECIFICATION

The Applicant respectfully requests the Examiner to enter the following amendments.

On the first page, please change the first paragraph to read as shown below, a marked up version of the current paragraph is shown on page 2.

Change to:

B1  
This application is a continuation-in-part of application number 09/421,553, filed October 20, 1999. Application 09/421,553 was a continuation-in-part of application number 09/358,969, filed July 22, 1999, of application number 09/295,337, filed April 21, 1999, application number 09/293,336, filed April 16, 1999, application number 09/135,983 filed August 17, 1998, application number 08/999,245, filed December 10, 1997 and application number 08/779,109, filed January 6, 1997 which are incorporated herein by reference. The subject matter of this application is also related to the subject

Examiner: Clement Graham  
Art Unit: 3628

Serial No. 09/688,982